UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/505,236	03/07/2005	Hans-Gunther Machens	930008-2190	3693
7590 12/28/2006 Ronald R Santucci			EXAMINER	
Frommer Lawr		LI, QIAN JANICE		
745 Fifth Avenue New York, NY 10151			ART UNIT	PAPER NUMBER
,			1633	
SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
3 MONTHS		12/28/2006	PAPER	

# Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06) Office A	ction Summary	Part of Paper No./Mail Date				
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	4) Interview Summary ( Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other:	te				
Attachment(s)						
* See the attached detailed Office action for a list	t of the certified copies not received	d.				
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).						
2. Certified copies of the priority documents have been received in Application No						
a)⊠ All b)□ Some c)□ None or:  1.□ Certified copies of the priority documents have been received.						
12)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a)⊠ All b)□ Some * c)□ None of:						
Priority under 35 U.S.C. § 119						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.85(a).						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
9) The specification is objected to by the Examiner.						
Application Papers						
8) Claim(s) are subject to restriction and/or election requirement.						
	7) Claim(s) is/are objected to.					
6) Claim(s) <u>1-8</u> is/are rejected.						
5) Claim(s) is/are allowed.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
4)⊠ Claim(s) <u>1-8</u> is/are pending in the application.						
Disposition of Claims						
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
2a) This action is <b>FINAL</b> . 2b) ⊠ This action is non-final.						
1)⊠ Responsive to communication(s) filed on 19 /	August 2004.					
earned patent term adjustment. See 37 CFR 1.704(b).  Status						
A SHORTENED STATUTORY PERIOD FOR REPI WHICHEVER IS LONGER, FROM THE MAILING [ - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the mailing active than three months after the mail	DATE OF THIS COMMUNICATION  136(a). In no event, however, may a reply be tim  d will apply and will expire SIX (6) MONTHS from te, cause the application to become ABANDONE	I. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Period for Reply						
The MAILING DATE of this communication ap	Q. Janice Li, M.D.	orrespondence address				
Office Action Summary	Examiner	Art Unit				
Office Assistant Succession	10/505,236	MACHENS, HANS-GUNTHER				
	Application No.	Applicant(s)				

Art Unit: 1633

#### **DETAILED ACTION**

Claims 1-8 are pending and under current examination.

## Claim Objections

Claim 8 is objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim can not depend on another multiple dependent claim (7). See MPEP § 608.01(n).

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1, 2, 4-6, 7, 8 are rejected under 35 U.S.C. 102(b) as being anticipated by *Machens et al* (Langenbeck's Arch Surg 1998;383:345-50, IDS).

Art Unit: 1633

Machens et al disclose an agent comprising autologous or isogenic fibroblast cells genetically modified to express PDGF-A, wherein the fibroblast cells were obtained from Lewis inbred rats using a method of inserting a silastic implant in the preperitoneal abdomen over a 5-day period (step a of claim 4), harvesting the fibroblast cells when the silastic implant was removed from the body (step b of claim 4), and transfecting the fibroblasts with a recombinant retroviral vector expressing PDGF-A (step c of claim 4). Machens et al also teach using the agent of claim 1 in epigastric flaps in the rat, and inducing angiogenesis in the rat model, accordingly, Machens et al anticipate instant claims.

Claims 1 and 3 are rejected under 35 U.S.C. 102(b) as being anticipated by *Davidoff et al* (Clin Cancer Res 2001;7:2870-9).

Davidoff et al disclose bone marrow-derived cells transduced with a recombinant vector expressing the soluble form of VEGFR-2 (e.g. page 2871), accordingly, Davidoff et al anticipate instant claims.

It is noted the recitation "isogenic or autologous" does not place a limitation on the agent since the cells in the agent would have been autologous or isogenic to certain subject.

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to

Art Unit: 1633

be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1, 3, 4, and 6 are rejected under 35 U.S.C. 103(a) as being unpatentable over *Machens et al* (Langenbeck's Arch Surg 1998;383:345-50, IDS) in view of *Yang et al* (Cancer Res 2001;61:7840-5).

Yang et al teach an anti-angiogenic therapy for treating cancer using genetically modified fibroblasts expressing VEGF-R. Yang et al teach transfecting primary cultures of skin fibroblasts with a recombinant vector expressing soluble form of VEGF-R2 (e.g. the abstract).

Yang et al do not teach obtaining fibroblast cells by implantation of biologically inert material. *Machens et al* supplemented the deficiency by establishing it was well known in the art that one can obtain autologous or isogenic fibroblasts through implantation of a biologically inert material such as silastic material.

Accordingly, it would have been obvious to one of ordinary skill in the art at the time the invention was made to obtain the fibroblast cells either by the method as taught by Yang et al or as taught by *Machens et al*, with a reasonable expectation of success. Given the numerous methods known in the art for

**Art Unit: 1633** 

obtaining fibroblasts, this limitation falls within the bounds of optimization for the source of fibroblasts. Thus, the claimed invention as a whole was *prima facie* obvious in the absence of evidence to the contrary.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 4-8 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claims are vague and indefinite because claim 4 does not clearly set forth positive method steps.

Claims 7 and 8 provide for the use of an agent, but, since the claim does not set forth any steps involved in the method/process, it is unclear what method/process applicant is intending to encompass. A claim is indefinite where it merely recites a use without any active, positive steps delimiting how this use is actually practiced.

Claims 7 and 8 are rejected under 35 U.S.C. 101 because the claimed recitation of a use, without setting forth any steps involved in the process, results in an improper definition of a process, i.e., results in a claim which is not a proper process claim under 35 U.S.C. 101. See for example *Ex parte Dunki*, 153 USPQ 678 (Bd.App. 1967) and *Clinical Products, Ltd.* v. *Brenner*, 255 F. Supp. 131, 149 USPQ 475 (D.D.C. 1966).

**Art Unit: 1633** 

No claim is allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Q. Janice Li** whose telephone number is **571-272-0730**. The examiner can normally be reached on 9:30 am - 7 p.m., Monday through Friday, except every other Wednesday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, **Joseph Woitach** can be reached on **571-272-0739**. The **fax** numbers for the organization where this application or proceeding is assigned are **571-273-8300**.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to (571) 272-0547.

Patent applicants with problems or questions regarding electronic images that can be viewed in the Patent Application Information Retrieval system (PAIR) can now contact the USPTO's Patent Electronic Business Center (Patent EBC) for assistance. Representatives are available to answer your questions daily from 6 am to midnight (EST). The toll free number is (866) 217-9197. When calling please have your application serial or patent number, the type of document you are having an image problem with, the number of pages and the specific nature of the problem. The Patent Electronic Business Center will notify applicants of the resolution of the problem within 5-7 business days. Applicants can also check PAIR to confirm that the problem has been corrected. The USPTO's Patent Electronic Business Center is a complete service center

Art Unit: 1633

supporting all patent business on the Internet. The USPTO's PAIR system provides Internet-based access to patent application status and history information. It also enables applicants to view the scanned images of their own application file folder(s) as well as general patent information available to the public.

For all other customer support, please call the USPTO Call Center (UCC) at 800-786-9199.

Q. JANICE LI, M.D. PRIMARY EXAMINER

Q. Janice Li, M.D. Primary Examiner Art Unit 1633

QJL December 22, 2006